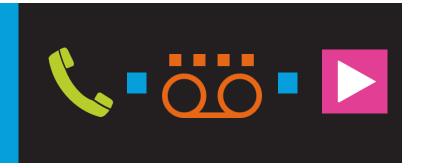


# Trader Replay **Authorization**

**Efficient & Compliant Replay** of Trading Recordings



## **AUTOMATED PROCESS**

NICE Trader Replay Authorization (NTRA) is designed to convert the complete process from requesting a call for playback, up to the approval process into an easy workflow. The NTRA application replaces the standard Search & Replay of NICE Trading Recording (NTR).

### FLEXIBLE WORKFLOW

The flexible workflow setup ensures that the application fits every organization, no matter how complex the authorization process is. With dynamic links to the company's LDAP, the organization hierarchy can be retrieved automatically. There a many approval and playback options to fit current processes.

NICE Trader Replay Authorization provides a secure and flexible replay approval process via one intuitive webbased portal. From this portal requests for playback can be started, followed and approved. And with its customizable configuration setup, all existing processes can be replicated in the system's simple, automated workflow.

- Trader Searches
- One Click Preview
- Request One Click to Request

- Notification Received
- One Click Approval
- Approve Each Request Audited

Replay

- Notification Received
- One click Replay
- Each Replay Audited

# WHY NICE TRADING RECORDING

Compliance recording and interaction analytics provide financial firms a robust, mission-critical technology platform to address:

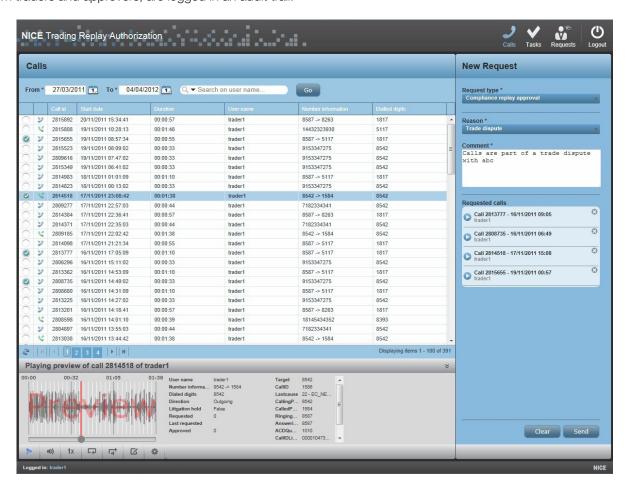
- **Regulatory compliance** Automated call recording helping organizations retain records of all customer interactions in compliance with external regulations and internal policies.
- **Dispute management** Sophisticated search, retrieval and call replay capabilities help resolve customer disputes quickly and cost-effectively.
- **Compliance investigation** Interaction analytics, speech analytics, real-time monitoring to flag and analyze potentially risk calls, alert compliance officers and customize investigation policies to comply with regulations.
- **Call playback authorization** A flexible, auditable workflow process for replaying call recordings in trading floor environments enabling companies to comply with strict security requirements while still providing fast access to recorded calls.

## REQUIRED FOR COMPLIANCE

Today's strict regulatory environment has profound effects on the systems and processes that power the trading floor. Changes in legislative and technical environments and the recent economic downturn are forcing financial institutions to develop new strategies to improve operations, reduce costs and support business and service enhancements. NICE Trader Replay Authorization complies with these new regulations.



NICE Trader Replay Authorization (NTRA) offers one intuitive user interface that allows a trader to search for calls (with preview) and creating a request for replay, and for the approvers to track pending replay requests and approve requests with one click. All actions, from traders and approvers, are logged in an audit trail.



# **ABOUT NICE**

NICE Systems (NASDAQ: NICE), is the worldwide leader of intent-based solutions that capture and analyse interactions and transactions, realise intent, and extract and leverage insights to deliver impact in real time.

Driven by cross-channel and multi-sensor analytics, NICE solutions enable organisations to improve business performance, increase operational efficiency, prevent financial crime, ensure compliance, and enhance safety and security.

NICE serves over 25,000 organisations in the enterprise and security sectors, representing a variety of sizes and industries in more than 150 countries, and including over 80 of the Fortune 100 companies.

#### CONTACTS

Global International HQ, Israel T +972 9 775 3777 EMEA, Europe & Middle East T +44 0 1489 77 1200 Americas, North America T +1 201 964 2600 Asia Pacific, Singapore office T +65 6222 5123 Web
www.nice.com
E-mail
tradingfloors@nice.com

The full list of NICE marks are the trademarks or registered trandemarks of NICE Systems Ltd. For the full list of NICE trademarks, visit http://www.nice.com/nice-trademarks. All other marks used are the property of their respective proprietors.

DATE 04/2015 • v3 • CONTENTS OF THIS DOCUMENT ARE COPYRIGHT © 2015.

