

## Why NICE Actimize

Safeguarding the financial industry by preventing, detecting, and investigating financial crimes

### Focus

Focus exclusively on financial crime and compliance

### Complete

Complete and packaged suite of AML solutions to address the entire customer life cycle

### Experience

Over 450 implementations, helping you get it right the first time

### Single View

Single view of customer risk allows you to manage your risk and grow your business

# Solution Suite for Integrated Management of AML Compliance Needs

## Anti-Money Laundering Solutions

## About NICE Actimize

NICE Actimize is the largest and broadest provider of financial crime, risk and compliance solutions for regional and global financial institutions, as well as government regulators. Consistently ranked as number one in the space, NICE Actimize experts apply innovative technology to protect institutions and safeguard consumers and investors assets by identifying financial crime, preventing fraud and providing regulatory compliance. The company provides real-time, cross-channel fraud prevention, anti-money laundering detection, and trading surveillance solutions that address such concerns as payment fraud, cybercrime, sanctions monitoring, market abuse, customer due diligence and insider trading.

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# Integrated Management of the End-To-End Anti-Money Laundering Life Cycle

Rapidly Evolving Challenges Continue to Pressure Financial Institutions and their AML Programs

Continued centralization of AML processes



As regulators increasingly seek consistent control across regions and lines-of-business, firms are moving toward single systems to manage AML regulatory needs and mediate risk across geographies.

Regulatory focus expanding to customer risk



New guidance from FATF, FinCEN, and the EU 4th AML Directive demand greater focus on customer specific risk factors, such as beneficial ownership, ongoing / periodic review, and KYC suitability, and require integration across transaction monitoring.

Accelerating scrutiny of AML analytics & efficacy



Analytic scrutiny spans beyond transaction monitoring to integration of customer risk and sanctions screening for a "life cycle view" of AML. Firms must show evidence of analytic knowledge across domains and demonstrate proof of model soundness and performance.

## Actimize Anti-Money Laundering Solution Suite

Actimize's AML solution suite enables integrated AML life cycle management while delivering insight across the customer life cycle to ensure smart and cost-effective AML operations for a positive, holistic customer experience.

Defend with a Complete AML Solution

Modular solutions offer market-leading, proven models and advanced analytics on a common platform with cross-solution data sharing spanning AML needs across customer onboarding and risk assessment; transaction monitoring; sanctions screening; investigations, reporting and remediation.

Focus on Effectiveness & Efficiency

With the industry's lowest false positive rates and largest "out-of-the-box" analytic library, firms can improve productivity by approximately 50% with streamlined investigations and take a risk-based approach to compliance.

Adapt to a Dynamic AML Environment

Leveraging a real-time platform with a decade-long tenure processing billions of transactions for the world's leading institutions, firms can keep pace with evolving money laundering regulations and threats.

## Integrated AML Management Features

Complete Customer Coverage Across The Bank

Provide coverage for applicable types of customers, accounts, and transactions within retail and correspondent banking, securities, and insurance across various lines of business.

360° View of Risk Throughout Customer Life Cycle

View and assess risk at potential entry points, from customer onboarding and account opening to ongoing review, and intercept risk-based activity by mapping relationship insights across accounts, intermediaries, beneficiaries, and related parties for a complete picture of potential vulnerabilities.

Behavioral Activity Profiling & Monitoring

Scrutinize anticipated versus actual behavior and activity against customer and party account profiles for potential AML violations including known money laundering typologies tailored to instrument, region, and vertical.

Effective, Global AML Operations System

Support enterprise-wide compliance and governance with validated tools that demonstrate system suitability for AML monitoring needs. Leverage a modular and interoperable system that can be tailored across multiple jurisdictions utilizing one common data model and architecture.

Tools That Withstand Regulator Scrutiny

Confidently address scalability with the power to process millions of cases across multiple geographies around the world. Equip analyst teams with a singular investigative, case management hub, integratable with any internal or third-party system with pre-built tools to survey, document, report, and remediate money laundering activity.

## Actimize Anti-Money Laundering Solutions

Suspicious Activity Monitoring

Offers industry-leading transaction monitoring for known and unknown money laundering and terrorist financing schemes - proven across multiple industries, products, and services. Provides comprehensive "out-of-the-box" analytic library deployed across industry's largest installed base.

CTR Processing & Automation

Serves as a seamless, proven, and end-to-end solution for automatic report generation and electronic filing of CTRs (BSA CTR form) directly to the US FinCEN.

FATCA Compliance

Provides complete life cycle assessment for FATCA compliance for new and pre-existing customers, business entities, and owners, enabling firms to bridge and leverage investments across CDD/KYC and tax withholding needs for IGA and non-IGA jurisdictions.

Watch List Filtering

Allows financial institutions to meet regulatory obligations with enterprise-wide watch list coverage and multi-jurisdictional screening across business units using fourth-generation, multi-layered screening technology.

Customer Due Diligence

Meets the needs of complete customer risk management and due diligence with real-time automation of the risk profiling and decisioning process across the entire customer life cycle.

Model Risk Management

Facilitates regulatory compliance through model performance verification and validation of soundness, as well as identification of potential limitations and recommendations for corrective action.