

Voice Management

The essential requirements for trading compliance

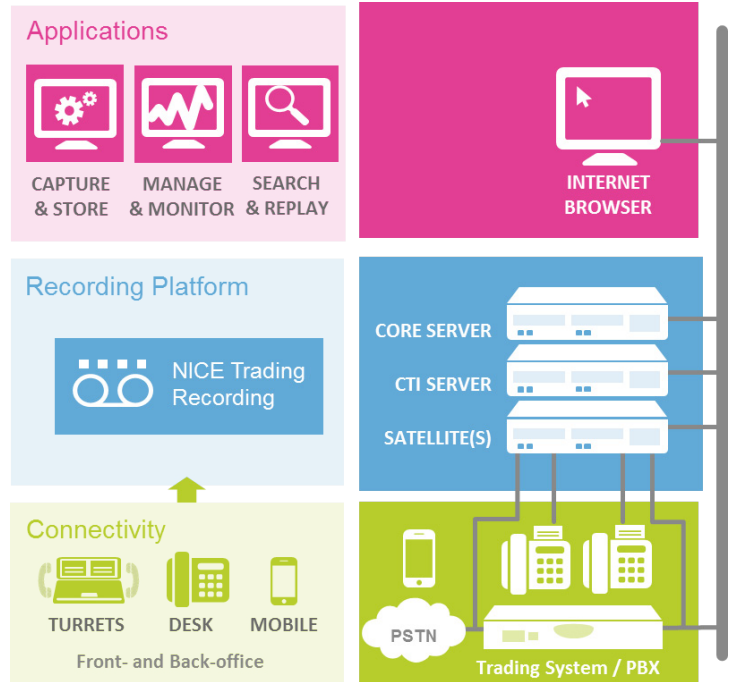


TRADING FLOOR COMPLIANCY

Today's strict regulatory environment has profound effects on the systems and processes that support the Trading Floor. To meet the growing compliance challenges, the NICE Voice Management solution enables Trading Floors to ensure regulatory compliance across the globe, improve business-process efficiency and minimize total cost of ownership (TCO).

To comply with new regulations, initiatives and policies globally, the Voice Management solutions from NICE empower you to adhere to new requirements such as:

- All trade communication must be recorded
- Information must be accessible and formatted in a digestible form
- A timeliness response to compliance queries



NICE Trading Floor Solutions is a significant line of business within the NICE Enterprise Portfolio. NICE is the leader in this market, serving the largest financial services organizations globally. NICE's Trading Floor solutions respond to an increasing demand in the

financial services market, where regulatory compliance is on the rise. NICE is focused on the Trading Floor market and is constantly developing innovative and patented solutions. Together with the Actimize portfolio, NICE has an unmatched presence in this domain.

Capture & Store

Most trades are done over the phone. Capturing these calls is the heart of every compliance system. Every call related to a trade should be captured, whether they are done on the Trading Floor, in the Back Office or on a Mobile Device. NICE has full interoperability with all major front-office Trading Systems and back-office PBX Systems.

Maintain & Monitor

For the utmost reliability, the NICE solutions ensure that all elements of the capture system can be installed redundant, to protect against possible operational failures. In combination with the ability to monitor and review the operation of the complete solution, any issues that may affect the performance or operation will be found quickly.

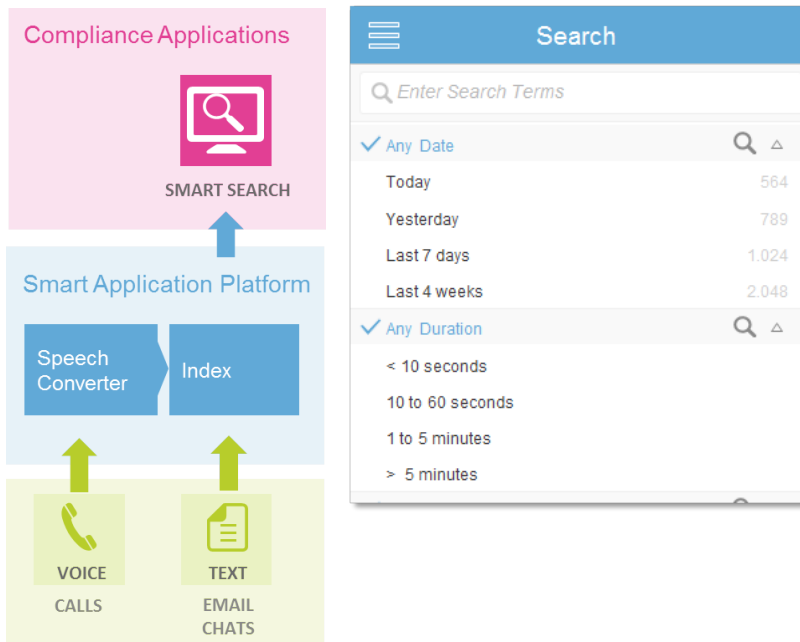
Search & Replay

NICE Trading Replay Authorization is designed to ensure replay of calls without sacrificing efficiency or compliance. It provides a secure and flexible replay approval process via an intuitive web based portal. With its customizable configuration, existing processes can be replicated in the systems simple, automated workflow.

SMART SEARCH

The NICE Smart Search application brings the power of voice analytics to allow the searching ability of the actual content discussed within the calls. The speech converter engine is optimized for the specific trading floor language and supports over 20 languages. By using boolean logic with multiple keywords the search results will appear within seconds. An audio player will indicate the found words in the conversation.

The challenges in searching for calls is that historic search and replay features only offer a small answer to the question. With NICE Smart Search you can now search for the content of a call, in addition to basic data of who took a call and when was it taken. Also bulk actions like download and litigation management are standard within the Smart Search offering.



ABOUT NICE

NICE Systems (NASDAQ: NICE), is the worldwide leader of intent-based solutions that capture and analyse interactions and transactions, realise intent, and extract and leverage insights to deliver impact in real time.

Driven by cross-channel and multi-sensor analytics, NICE solutions enable organisations to improve business performance, increase operational efficiency, prevent financial crime, ensure compliance, and enhance safety and security. NICE serves over 25,000 organisations in the enterprise and security sectors, representing a variety of sizes and industries in more than 150 countries, and including over 80 of the Fortune 100 companies.

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