

Why NICE Actimize

Safeguarding the financial industry by preventing, detecting, and investigating financial crimes

Focus

Focus exclusively on enabling predictive, preventative compliance for regulatory and reputational risk.

Knowledge

Complete and packaged suite of offerings across all asset classes, instruments, markets, communications, and global jurisdictions.

Experience

Experience with wide spread deployments across financial institutions globally.

Partnership

Single view of risk allows you to safeguard your firm and clients and grow your business

About NICE Actimize

NICE Actimize is the largest and broadest provider of financial crime, risk and compliance solutions for regional and global financial institutions, as well as government regulators. Consistently ranked as number one in the space, NICE Actimize experts apply innovative technology to protect institutions and safeguard consumers and investors assets by identifying financial crime, preventing fraud and providing regulatory compliance. The company provides real-time, cross-channel fraud prevention, anti-money laundering detection, and trading surveillance solutions that address such concerns as payment fraud, cybercrime, sanctions monitoring, market abuse, customer due diligence and insider trading.

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Forex Markets
Surveillance

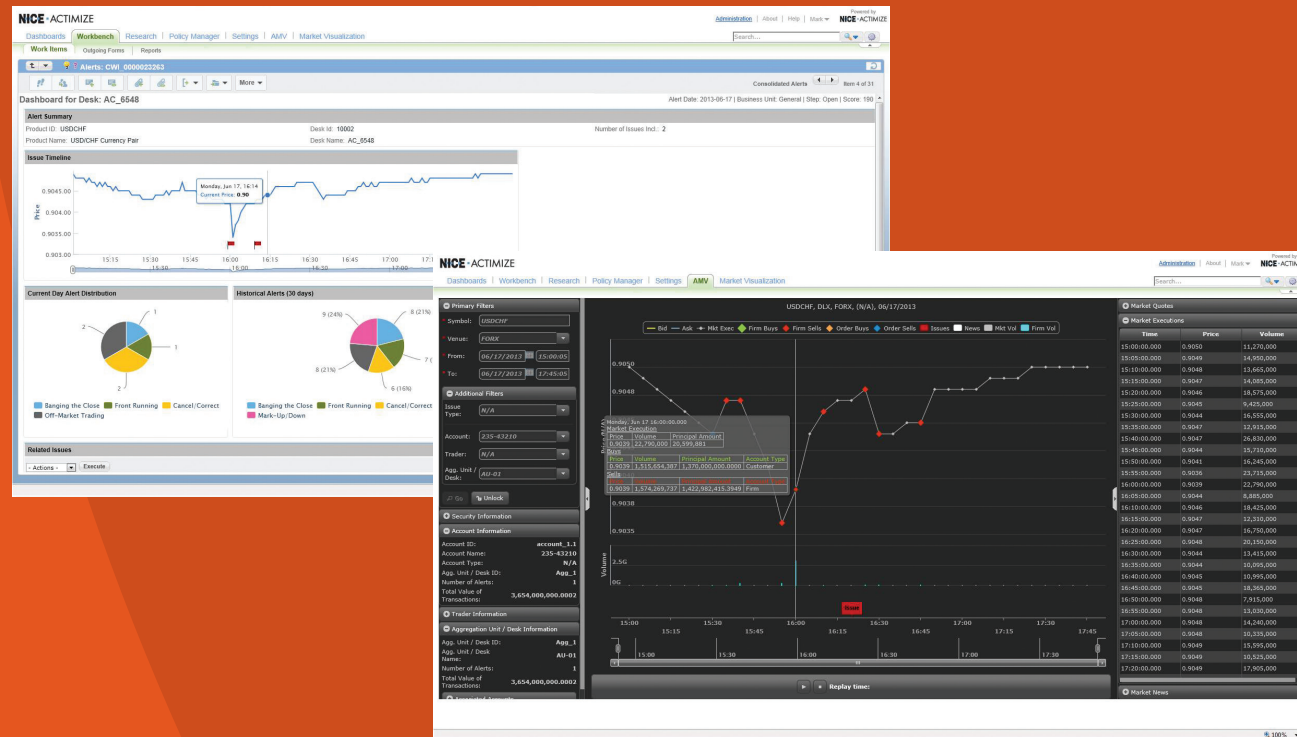
Financial Markets Compliance
Solutions

Monitor & Detect FX Market Manipulation, Abuse, and Fraudulent Behavior Patterns – Across Orders, Trades, and Communications

With major institutions subject to investigations, fines, sanctions and private litigation, and, eventually, class actions, FX manipulation is now at the forefront of global regulatory focus. No firm can afford to ignore the potential consequences. Yet the unique character of the FX markets - 24 x 6 over-the-counter trading, lack of market transparency, and their largely unregulated nature - have challenged firms to implement effective surveillance across their dealings and communications.

Actimize Forex Markets Surveillance

The Actimize solution provides proven, comprehensive coverage for FX manipulation and fraud, including cross-market manipulation and anomalous trading behavioral patterns. The solution includes focused FX transaction surveillance with the additional capability to monitor and analyze relevant communications across voice, email, and chat. Firms can choose between on-premise or cloud deployment.



Intuitive, graphical representation of data helps identify key issues

Comprehensive FX Surveillance, from Analytics to Case Management

Follow the Sun Analysis

Ensure coverage for markets that never close, in multiple regions and multiple time zones in order to maintain appropriate levels of 24 x 6 surveillance.

The Pioneer and Leader in OTC Market Coverage

Provide your firm's reputation by leveraging Actimize's pioneering coverage of the OTC markets, and the required level of scrutiny to currency transactions with customized analytics at the currency pair and at the currency levels.

Market Visualization

Provide analysts with a graphical interface that displays market activity overlaid with firm transactions and alerts, adding insight for context-driven analysis of internal and external trading anomalies and improving market surveillance activities.

Centralized Case Management & Oversight

Ensure investigations are effectively resolved according to compliance protocols with a fully integrated case management platform including predefined workflows, efficient alert management, and audit tracking, as well as comprehensive reporting and dashboard capabilities.

Proven Out-Of-The-Box Models are Peer Driven and Recommended

Solve the issue of scarcity and unreliability of market data with analytics across multiple benchmarks. Monitor constantly fluctuating currency rates, including adjustment for various interest rate changes.

Time to Value

Ensure time to value with NICE Actimize's proven solution and superior out-of-the-box analytics, integrated risk case manager, established data requirements and flexible deployment options.

Detection and Research Tool (DART)

Offer business users the ability to perform ad hoc queries to quickly aggregate, review, and distribute information across the organization with DART, an optional add-on to the Forex Market Surveillance solution.

Actimize is the Only Provider of a Holistic View of Risk

In addition to comprehensive case management, Forex Market Surveillance analytics can optionally be deployed with communication surveillance – including voice. This enables firms to efficiently and effectively focus analysts' and supervisors' time on the highest risk activities in the markets in order to protect the firm.

