

# Trading Firm Meets Regulatory Deadlines & Strengthens AML

## About the Institution



Diversified financial portfolio, global client base & multiple lines of business

## Business Need



Regulatory pressure to implement AML system in 10 months



Current technology outdated & inflexible – required major upgrade



Needed single, AML platform for transaction monitoring, customer due diligence & investigations

## The Solution



Actimize Customer Due Diligence identifies, manages, and mitigates customer-related risks for KYC on-boarding & ongoing CDD

Actimize Suspicious Activity Monitoring identifies & reports on suspicious transactions for money laundering and terrorist financing

Actimize Enterprise Risk Case Manager (ERCM) enhances insight & oversight, centralizing & correlating enterprise data

## The Impact

Improved flexibility & accuracy with ability to easily manage scoring & ongoing risk profiles

Easily met timelines and commitments to address regulatory concerns



Developed single AML platform for transaction monitoring, customer due diligence & investigations