

AML Regulation The New Culture of Compliance



48%

of respondents consider their organization to have "a strong culture of compliance"

- Actimize Webinar Poll Results, Spring 2014

But the regulators tell a different story



"...need for more deterrents and individual accountability"

- Superintendent, New York State Department of Financial Services

\$2,6
BILLION
Fine

For Inadequate Money
Laundering Prevention Program

1

MONTH
Suspension

To AML Compliance
Officer

\$8
MILLION
Fine

For Substantial AML
Compliance Failures

Firms recognize the need to focus
on gap identification



47%

of respondents say that gap identification is the "greatest area of concern" for the next 6-12 months

- Actimize Webinar Poll Results, Spring 2014

End-to-end management of AML
compliance risk can help close the gaps
and satisfy regulators



Integrated AML
Lifecycle
Management



Smart
and Cost-Effective
AML Operations



Positive
Holistic Customer
Experience



Learn what experts & peers are saying
about the Culture of Compliance:

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