

NICE Actimize Named Suitability Category Winner for 2023 WealthTech Americas Awards for the Second Consecutive Year

NICE Actimize's Suitability solution helps ensure that advisor's recommendations are consistent with each client's investment objectives and suitability profiles

Hoboken, N.J., June 20, 2023 – NICE Actimize, a [NICE \(NASDAQ: NICE\)](#) business, was named the winner in the "Suitability" category by [WealthTech Americas Awards 2023](#) for its SURVEIL-X Holistic Conduct Surveillance solution. Sponsored by WealthBriefing, a publication of [Clearview Financial Media](#) and a leading global provider of business intelligence in the private banking and wealth management space, the awards program rewards achievement, top-class performance, and innovation.

For the second consecutive year, NICE Actimize emerged as the Suitability Winner in supporting wealth advisors and asset managers through the successful implementation of its holistic surveillance solutions offerings. According to Clearview Financial Media, "The judges were particularly impressed that NICE Actimize deploys a wide range of languages as well as leveraging AI to make processes as efficient as possible. This was a very well-argued submission packed with concrete examples and explanations. A very worthy winner."

NICE Actimize's Sales Practices & Suitability solution is part of the SURVEIL-X Holistic Conduct Surveillance platform and provides coverage for a broad range of sales practices issues, helping firms meet global regulatory requirements and ensuring investment recommendations are consistent with each client's investment objectives and suitability profiles. True Holistic Surveillance. Trades, communications, and other surveillance data are analyzed together using AI-based detection techniques to produce more precise alerts with integrated visualization.

"NICE Actimize continues to be laser-focused on the behavioral aspects of suitability, which benefits both advisors and clients. Consistent controls and processes, along with sophisticated analytics, help firms address sales practices and investment suitability requirements," said **Chris Wooten, EVP, NICE**. "Firms are using our solutions to meet regulatory obligations while managing client abuse risk on a more holistic level to encourage improved customer relationships, all while building a robust suitability compliance program."

"Following on last year's successful debut, this year's WealthTech Americas awards was another highly competitive competition which addressed the most important wealth management concerns throughout the Americas," said **ClearView Financial Media's CEO, and Publisher of WealthBriefing, Stephen Harris**. "These awards recognize the strongest technology leaders in wealth management, and truly reflect excellence and innovation in the industry."

Serving wealth management and insurance customers, NICE Actimize's Holistic Conduct Surveillance solution provides behavioral intelligence which can be used in supporting compliance, and in improving communications and relationships between internal advisors and their clients.

NICE Actimize's SURVEIL-X Suitability solution has seen strong acceptance over the past year at mid-sized institutions and large-scale financial services organizations. The ease of deployment, enhanced by cloud technology and lowered costs, has made these technologies critical for wealth management teams.

NICE Actimize won the WealthTech Suitability Awards across all major regions in both 2021 and 2022, and it also won the 2020 "[Best Compliance](#)" technology solutions provider award by WealthBriefing Europe.

For more information on NICE Actimize's SURVEIL-X solutions, please [click here](#).

To read NICE Actimize's article in WealthBriefing's ACCLAIM publication, "Fighting Potential Client Abuse with Robust Suitability Solutions" please [click here](#).

About NICE Actimize

NICE Actimize is the largest and broadest provider of financial crime, risk and compliance solutions for regional and global financial institutions. Consistently ranked as number one in the space, NICE Actimize experts apply innovative technology to protect institutions and safeguard consumers' and investors' assets by identifying financial crime, preventing fraud and providing regulatory compliance. The company provides real-time, cross-channel fraud prevention, anti-money laundering detection, and trading surveillance solutions that address such concerns as payment fraud, cybercrime, sanctions monitoring, market abuse, customer due diligence and insider trading. Find us at www.niceactimize.com, @NICE_Actimize or Nasdaq: NICE.

About NICE

With NICE (Nasdaq: NICE), it's never been easier for organizations of all sizes around the globe to create extraordinary customer experiences while meeting key business metrics. Featuring the world's #1 cloud native customer experience platform, CXone, NICE is a worldwide leader in AI-powered self-service and agent-assisted CX software for the contact center – and beyond. Over 25,000 organizations in more than 150 countries, including over 85 of the Fortune 100 companies, partner with NICE to transform - and elevate - every customer interaction. www.nice.com.

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Forward-Looking Statements

This press release contains forward-looking statements as that term is defined in the Private Securities Litigation Reform Act of 1995. Such forward-looking statements, including the statements by Mr. Wooten, are based on the current beliefs, expectations and assumptions of the management of NICE Ltd. (the "Company"). In some cases, such forward-looking statements can be identified by terms such as "believe," "expect," "seek," "may," "will," "intend," "should," "project," "anticipate," "plan," "estimate," or similar words. Forward-looking statements are subject to a number of risks and uncertainties that could cause the actual results or performance of the Company to differ materially from those described herein, including but not limited to the impact of changes in economic and business conditions, including as a result of the COVID-19 pandemic; competition; successful execution of the Company's growth strategy; success and growth of the Company's cloud Software-as-a-Service business; changes in technology and market requirements; decline in demand for the Company's products; inability to timely develop and introduce new technologies, products and applications; difficulties or delays in absorbing and integrating acquired operations, products, technologies and personnel; loss of market share; an inability to maintain certain marketing and distribution arrangements; the Company's dependency on third-party cloud computing platform providers, hosting facilities and service partners; cyber security attacks or other security breaches against the Company; the effect of newly enacted or modified laws, regulation or standards on the Company and our products and various other factors and uncertainties discussed in our filings with the U.S. Securities and Exchange Commission (the "SEC"). For a more detailed description of the risk factors and uncertainties affecting the company, refer to the Company's reports filed from time to time with the SEC, including the Company's Annual Report on Form 20-F. The forward-looking statements contained in this press release are made as of the date of this press release, and the Company undertakes no obligation to update or revise them, except as required by law.